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October 20, 2000

Commissioner of Patents and Trademarks  
Washington, DC 20231

Re: Application for U.S. Patent  
Inventor's Docket IP-902

Dear Sir:

Enclosed you will find the following items relating to a patent application, titled "Apparatus for Gathering, Picking up and Carrying Loose Materials":

1. Specification with claims.
2. Drawings - one sheet.
3. Inventor's Declaration.
4. Money order in the amount of \$355.00.
5. Statement Claiming Small Entity Status.
6. Certificate of Mailing - Express Mail No. ~~EK570126874~~ dated October 20, 2000.

I trust you will find these items in order.

Sincerely yours,

  
H. H. Waddell

enc.

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b))—INDEPENDENT INVENTOR**

Docket Number (Optional)

Applicant, Patentee, or Identifier: Herbert Howell Waddell (H. H. Waddell)Application or Patent No.: Not yet assigned

Filed or Issued:

Title: Apparatus for gathering, picking up and carrying  
loose materials

As a below named inventor, I hereby state that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- the specification filed herewith with title as listed above.  
 the application identified above.  
 the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, grant, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- No such person, concern, or organization exists.  
 Each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern, or organization having rights to the invention stating their status as small entities (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

Herbert Howell Waddell

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Herbert Howell Waddell

Signature of inventor

Signature of inventor

Signature of inventor

October 20, 2000

Date

Date

Date

## Apparatus for Gathering, Picking Up and Carrying Loose Materials

### Priority

Priority for this application is claimed under Provisional Application Number 60/160,660, filed October 21, 1999.

### Background

It is difficult to pick up large quantities of dirt, leaves, debris, trash, hay and other loose items with a single rake, scoop or shovel. For example, when leaves are raked into a pile, one must hold leaves on the rake with one hand, while holding the handle of the rake with the other hand, to put the leaves into a container for disposal. An improvement is achieved by using two rakes, but with only one hand on each rake handle it is difficult to control the rakes, and only a small amount of leaves can be picked up and carried in this manner. This problem has been addressed by a number of inventions that employ two opposing rakes that are mechanically attached to each other, but each of these inventions has shortcomings.

*Dirksen*, 4,991,386, *Fiorentino*, 4,037,397 and *Cox*, 3,688,484 are examples of devices comprising two rakes that are pivotably connected to work together as tongs for picking up accumulations of leaves or debris. *Jones*, 6,120,073 also pivotably connects two rakes, but adds a third element, a handle. All of these devices connect the two rakes with a permanent, non-adjustable pivot joint, so the rakes cannot be separated and used individually for raking materials together.

*Sipe*, 4,018,038, *Hand Rake with Grasping Tines* claims a device that employs two opposing sets of tines that are brought together to pick up leaves, etc. by operating a lever on the handle. This device is not suitable for use as a rake, is expensive and complicated and has a fixed pivot point.

*Laughlin*, 5,564,266, *Grass Rake Tongs*, claims a device in which two rake handles are joined by a pivot to form a pair of tongs, with which large quantities of leaves can be collected by placing one hand at the top of each handle and mechanically bringing the heads of the rakes (the assembly of tines) together. This has the disadvantage of being a large, rigid, inflexible apparatus that does not conform easily to uneven surfaces. By rotating the handles so that the tines of each rake are at opposite ends of the assembly, one head can be used for raking leaves into a pile, but both handles must be held firmly to accomplish this and the weight of the unused head is burdensome. The rakes cannot be separated and used independently, and the pivot point cannot be changed to accomplish different tasks.

*Frankhauser*, 5,765,351, *Detachable garden rake pick-up attachment*, cites many attempts to adapt garden rakes for picking up materials by permanently modifying the rakes with expensive pick-up attachments, and claims an attachment that can be added to, and removed from, a standard garden rake. The device of *Frankenhauser* comprises a set of tines that can be brought into opposition with the standard rake tines by operating a lever. The *Frankhauser* device is designed for use only with a garden rake of a specific design, is relatively complicated, expensive and not easily attached or removed.

From the foregoing it is obvious that a number of inventors have attempted to solve the problem of combining a rake and pick-up device. However, garden rake manufacturers have not found a device that does so in a manner that is convenient and economical enough to be marketable for general use.

#### Summary of the Invention

The invention is an apparatus for a person to use for manually gathering, picking up and carrying materials such as dirt, leaves, debris, trash, hay, ice, snow and other loose materials or objects. The apparatus is made up of two elements which each have shafts with grasping means at one end, which shafts are joined by a flexible, moveable and

removeable coupling in such a way that the grasping means of both elements can be brought together to draw loose items between them and to hold said loose items between them. The coupling holds the shafts together at one point, allowing a person to use one hand at another point on each shaft to bring the grasping elements together with loose materials between them. The coupling acts like a second hand on each shaft by giving the user control at two points on each shaft. This permits application of more force than could otherwise be used. The coupling can be placed anywhere along the shafts at the convenience of the user to facilitate drawing together of the grasping means. When the grasping means are drawn together the user can hold the grasping elements together by holding the shafts together at a location remote from the coupling with the coupling still operating like a second hand on the shafts. The entire apparatus can then be lifted, with only a single hand if desired, to transport the loose items to another location, where they are released by separating the grasping means of the two elements. The coupling may be removed from one or both elements to permit their use separately. The elements with grasping means can be commercially available rakes or shovels.

#### Brief Description of Drawings

Figures 1 and 2 show the apparatus employing commercial garden rakes (B) with wire tines (A) as the grasping elements. They are in position to gather and pick up debris, leaves or other loose materials. The rakes are connected by the flexible coupling (C).

In Figure 1, the coupling is near the top end of the rake handles. In this conformation, the handles are grasped towards their lower ends and drawn together to collect the loose materials between the rakes. Then the lower ends of the handles are held together with one or both hands, the apparatus is lifted and carried away. To release the debris, the handles are drawn apart.

In Figure 2, the coupling is near the bottom end of the rake handles. In this conformation, the handles are grasped towards their upper ends and drawn together to

collect the loose materials between the rakes. Then the upper ends of the handles are held together with one or both hands, the apparatus is lifted and carried away. To release the debris, the handles are drawn apart.

Figure 3 shows one design for the coupling means. It comprises two loops with an internal diameter of (D), which is just large enough to permit them to slip loosely over the handles of the rakes. In this example, the loop diameters are about one inch so that they can slip onto rake handles about 7/8ths of an inch in diameter. The loops are joined at a distance (L) by a flexible band. In this example, L is about one and a half inches, the connecting band is about 1/4 inch thick and about 1/2 inch wide, and the cross section of the loop material is circular with a diameter of 3/16ths of an inch. The design shown in Figure 3 is suitable for a molded coupling made from medium-hardness, weather-resistant rubber.

#### Detailed Description of the Invention

The present invention overcomes the shortcomings of earlier devices for collecting, picking up and carrying loose materials. This is illustrated by a preferred embodiment of such a device wherein an adjustable, flexible, removable coupling joins the handles of two standard leaf rakes having handles with diameters of about 7/8 inches. The coupling has loops that slip over the handles of the rakes. The coupling is made of weather-resistant rubber, wherein the loops and a connecting band that separates the handles are molded into one part as in Figure 3. The diameter of the loops is about one inch, which is large enough to be slipped easily over the handles, but not so large that they slip out of place unintentionally. The coupling separates the loops, and hence the handles, by about one and one half inches. The loops allow each rake to rotate within its loop on its axis. The flexible connecting band of the coupling permits the rakes to pivot in any direction around the point where the rakes are coupled. The coupling can be positioned anywhere along the handles of the rakes. Large quantities of leaves can be collected by pulling the heads of the rakes (the assembly of tines) together in one of two ways: either

with the coupling near the top of the handles and the hands near the heads, so that the coupling acts like a hinge (Figure 1); or with the coupling near the rake heads and the hands near the tops of the handles, so that the device acts like tongs (Figure 2).

The coupling can be slipped off of one rake handle to use the rakes individually for raking leaves into a pile. Then the coupling device is slipped on both handles and positioned about 1 to 18 inches from the top of the handles. In this configuration (Figure 1), with one hand placed on each handle about a foot above the rake head, the device can be used to sweep leaves into a heap, and the heads can be easily drawn together to collect the piled leaves. The flexibility of the coupling allows the rakes to be adjusted to uneven surfaces. The device can then be held closed with one or both hands and lifted to carry the leaves entrapped between the rake heads to a container or disposal site. Alternatively, the coupling can be placed near the lower end of the device, about 1 to 12 inches from the rake heads. In this configuration (Figure 2), piled leaves can be picked up by placing one hand on each handle between the coupling and the upper end of the handles, spreading the handles apart, placing the opened rake heads in the leaves and bringing the handles together to collect leaves between the rake heads as they close up to each other. Holding the handles together with one or both hands, the device is then lifted to carry the collected leaves to a container or disposal site.

In a similar manner, two shovels can be coupled and used like a post hole digger to dig holes in dirt. A post hole digger has a permanent hinged connection between the handles of two narrow shovels near the head of the shovels. To operate it, the handles are held close together and the shovel, or head, ends are thrust sharply into the ground. Then the handles are spread to hold soil between the shovel heads, and the post hole digger is lifted. The present invention uses a removable coupling, which can be used on any individual shovels. Maximum holding capacity is achieved when two shovels of equal size are used. If the coupling is near the head as in a post hole digger, maximum leverage is exerted to loosen a portion of dirt, which can then be picked up by spreading the handles apart at the top to draw the heads of the shovels together. If the coupling is

near the upper end of the handles, the heads can be spread further apart to pick up a larger quantity of dirt, but a greater force is required to hold the shovel heads together than when the coupling is near the bottom of the handles. The former arrangement is preferred for firm soil, and the latter for loose soil, sand, etc. Either configuration can also be used with snow shovels to collect, lift and carry snow without bending over.

The coupling can be made from a wide variety of different flexible materials, such as rubber, plastic, rope, leather, or the like. The coupling can be fabricated by compression or injection molding, braiding, by being cut from a sheet of suitable material (such as the side walls of discarded auto tires) or by another suitable method. The preferred material is a weather-resistant elastomeric composition, such as ethylene/propylene rubber or urethane. Such materials are strong, flexible and grip the handles of the grasping device enough so that they do not slip in use, while being loose enough to be slipped on and off without difficulty. The cross sectional dimensions of the loop should be great enough to provide strength to resist the force of drawing the heads together, and the loops should be stiff enough to remain open when they are being slipped over the shafts of the grasping devices. The loop should be large enough that it can be slid over the end of the handle and into the desired position, but not so loose as to slip out of position. The flexibility of the loop and the friction between it and the handle will then keep the loop in place during use.

Alternatively, the coupling device can be made of material that is not by itself flexible, but is fabricated into a flexible assembly, such as metal or plastic interlocking links in a short chain that joins loops that slip around the handles. If metal or hard plastic loops are used, they should fit snugly enough to keep them from sliding out of the desired places on the handles, or may incorporate clamping devices to prevent the loops from slipping out of place on the handles during use.

Whether by reason of inherent flexibility of the coupling material or the flexibility imparted by fabrication of inflexible material into a flexible assembly, the flexibility of

the coupling imparts benefits over a rigid pivot, namely that the shafts can move to a restricted extent in any way with respect to each other.

Grasping devices with similar configurations of their parts to those of this invention have been used widely for a very long time. However, the present invention is distinguished from them in important ways. Configuration 1 is similar in action to tweezers or tongs that are made by connecting two elements at one end so that their other ends, the grasping ends, are separated by spring action. They are operated by forcing the grasping ends together to pick up objects. Unlike the present invention, they are not separable and the connection between the two grasping elements is not flexible or adjustable. Configuration 2 is similar in action to scissor type tongs, where two elements are joined near the grasping end with a mechanical hinge and the handles are drawn apart to open them and drawn together to close them together on an object. Pliers and scissors have two grasping or cutting elements configured in this manner. Some of these devices can be taken apart for cleaning. Unlike the present invention, these other known devices have fixed couplings that cannot be moved along the handles, and if they can be disconnected, the two separate elements are generally not useful when separated. The present invention advances the art by combining two similar elements that are individually useful in such a way that they are more useful combined than when used individually and permitting the user to adapt their configuration as desired.

## Claims

What is claimed is:

1. An apparatus for gathering, picking up and carrying materials comprising
  - a) two grasping elements which each have shafts with grasping means at one end, and
  - b) a flexible coupling means which can be moved along the shafts of the grasping elements to connect them together while permitting each of them to

rotate along the axes of their shafts and to pivot with respect to each other so that the grasping heads can be brought together or moved apart from each other.

2. An apparatus as in claim 1, wherein the grasping elements have shafts with diameters of 0.5 to 3 inches.
3. An apparatus as in claim 1, wherein the grasping elements have shafts with lengths of two to six feet.
4. An apparatus as in claim 1, wherein each grasping element has a grasping head that consists of tines arrayed to form a rake.
5. An apparatus as in claim 4, wherein the grasping elements are commercially available garden rakes for raking leaves , dirt or other materials.
6. An apparatus as in claim 1, wherein each grasping element has a grasping head fabricated as the head of a shovel from sheets of metal, wood or plastic that extend along the axes of the shafts for three to eighteen inches and extend sidewise equally on both sides of the shaft for a total width of three to twenty four inches.
7. An apparatus as in claim 6, wherein the grasping elements are commercially available shovels for shovelling dirt, snow or other materials.
8. An apparatus as in claim 1, wherein the coupling means consists of two loops that have diameters slightly larger than the diameters of the shafts to be connected and said loops are connected by a flexible linkage means having a length of one fourth to 4 inches.

9. An apparatus as in claim 8, wherein the entire coupling means is molded of, or cut from sheets of, an inherently flexible polymeric material such as elastomeric plastic or rubber.
10. An apparatus as in claim 8, wherein the flexible linkage of the coupling means is made of rigid material fabricated to impart flexibility, such as in the form of a chain.
11. An apparatus as in claim 8, wherein the coupling means comprises loops of material that are connected by flexible material in the form of a band or rope.
12. An apparatus as in claim 8, wherein the loops of the coupling means contain clamping devices that permit them to be moved along the shafts to a desired position and then clamped there to prevent further unwanted movement.

## Abstract

An apparatus is provided for gathering, picking up and carrying loose materials such as dirt, leaves, debris, trash, hay, ice, snow and other materials or objects. The apparatus is made up of two elements which each have shafts with grasping means at one end, which shafts are joined by a flexible, removeable coupling in such a way that the grasping means of both elements can be brought together to draw loose items between them and to hold said loose items between them. The entire apparatus can then be lifted to transport the loose items to another location, where they are released by separating the grasping means of the two elements. The coupling may be removed from one or both elements to permit their use separately. The elements with grasping means can be commercially available rakes or shovels.

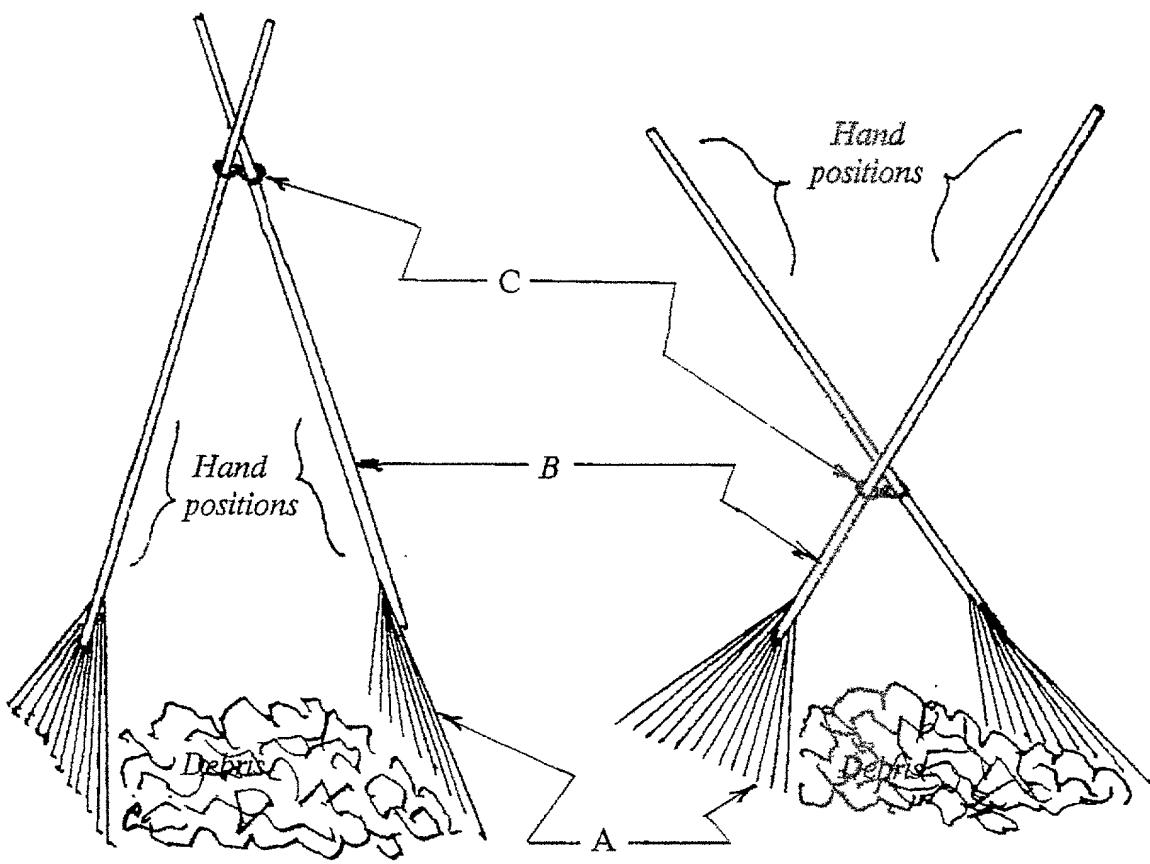


Figure 1

Figure 2

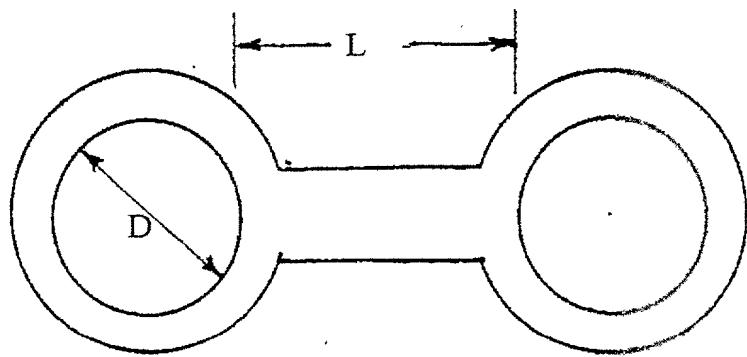


Figure 3

## DECLARATION FOR PATENT APPLICATION

I, Herbert Howell Waddell,

declare that I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention titled,

"Apparatus for gathering, picking up and carrying loose materials",

the specification, claims and drawings for which are attached hereto. I prepared the specification, claims and drawings and understand their meaning fully.

I acknowledge the duty to disclose information that is material to patentability as defined in 37 CFR §1.56.

I hereby claim benefit under 35 CFR §120(e) of United States Provisional Patent No. 60/160,660, filed October 21, 1999.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Signature: Herbert Howell Waddell 10/20/2000

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